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**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

 Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/332,348	06/14/99	RAZAVI	B 5181-29900

0242/0714

 MARK L BERRIER
CONLEY ROSE & TAYON PC
P O BOX 398
AUSTIN TX 78767-0398

NOT ASSIGNED

2731

DATE MAILED:

07/14/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☐ non-small entity is \$ 890.00.

☒ 1. The statutory basic filing fee is:

- ☐
- missing.
-
- ☐
- insufficient.

 Applicant must submit \$ 790.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒
- is missing or unsigned.
-
- ☐
- does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

 Customer Service Center
Initial Patent Examination Division (703) 308-1202

08/11/1999 VVAN11 00000120 09332348 760.00 OP 130.00 OP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:	09/332,348
Filed:	June 14, 1999
Inventor(s):	Behfar Razavi Owen Densmore Guy Martin
Title:	SYSTEM AND METHOD FOR PROVIDING SOFTWARE UPGRADES TO A VEHICLE

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|                  |            |
|------------------|------------|
| Examiner:        | Unknown    |
| Group/Art Unit:  | 2731       |
| Atty. Dkt. No:   | 5181-29900 |
| Client Ref. No.: | P4071      |

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231, on the date indicated below.

Mark L. Berrier

Printed Name \_\_\_\_\_

Printed Name \_\_\_\_\_  
Signature Yahya Z. [Signature] Date 8/5/99

**Signature**

Date \_\_\_\_\_



**RESPONSE TO NOTICE TO FILE MISSING PARTS**  
**OF APPLICATION UNDER 37 C.F.R. § 1.53(d)**

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 C.F.R. § 1.53(d), mailed July 14, 1999, there is enclosed herewith:

- ☒ An Assignment of the invention to Sun Microsystems, Inc.
- ☒ Recordation Form Cover Sheet
- ☒ A combined Declaration and Power of Attorney executed by the inventor(s)

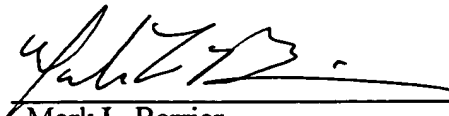
- ☒ A check in the amount of \$930.00 to cover:

|                                              |    |      |   |                 |          |
|----------------------------------------------|----|------|---|-----------------|----------|
| Total Claims                                 | 20 | -20= | 0 | x \$18.00=      | \$0.00   |
| Independent Claims                           | 3  | -3 = | 0 | x \$78.00=      | \$0.00   |
| Multiple Dependent Claims                    |    |      |   | Fee:            | \$0.00   |
|                                              |    |      |   | Basic Fee:      | \$760.00 |
| Surcharge -- Late filing fee for Declaration |    |      |   |                 | \$130.00 |
|                                              |    |      |   | Assignment Fee: | \$40.00  |
|                                              |    |      |   | Total:          | \$930.00 |

- ☒ A copy of Notice to File Missing Parts of Application Filing Date Granted
- ☒ A return postcard to acknowledge receipt of these materials. Please stamp and return this postcard to the undersigned.

If any fees are inadvertently omitted or if any additional fees are required or have been overpaid, please appropriately charge or credit those fees to Conley, Rose & Tayon, P.C. Deposit Account Number 03-2769/5181-29900/AMLB. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,



Mark L. Berrier  
Reg. No. 35,066  
ATTORNEY FOR APPLICANT(S)

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Phone: (512) 476-1400

Date: 8/5/99